# Estimation of greenhouse gas emissions from an underground wastewater treatment plant

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# ABSTRACT

In this study, greenhouse gas (GHG) emissions from a Hwasung Dongtan(2) wastewater treatment plant (WWTP) built in underground were quantitatively estimated, based on the life cycle assessment. The functional unit of the life cycle assessment was designed to treat the 122,000 m<sup>3</sup>/d of wastewater and system boundary was set within the scope of the construction and operation stage. It was assumed that service life of the plant is 45 years and that of equipment (e.g., machinery and pipes) is 15 years, respectively. The construction stage was divided into basic construction, pre-treatment and flow control, and bio-reactor/sludge treatment/wastewater reuse. The operation stage was classified as sedimentation, flow control, bio-reactor and blowing, chemical supply, reuse water treatment, deodorizing, and ventilation.

The operation stage accounted for 99.9% of the GHG emissions during the lifecycle. The main processes that produce the greatest amount of GHG (81.0% of total emissions) were bio-reactors and ventilation. The GHG emission of the WWTP was 0.87 kgCO<sub>2</sub>e/m<sup>3</sup>, higher than other WWTPs constructed on the ground. This is mainly due to the increase of electric energy consumption for air supply (bio-reactor and MBR) without primary sedimentation, internal transport for the denitrification, and ventilation for system installed in underground. In order to minimize GHG emissions, optimization of design and operation should be achieved in the near future.

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## 1. INTRODUCTION

Life Cycle Assessment(LCA) is a widely known technique that assesses and quantifies the environmental loads and impacts associated with a product or process throughout their entire life cycle (ISO 2006). It identifies and quantifies the data for all energy, raw materials, by-products and environmental pollutants within the established system boundary. LCA has been successfully used to investigate main environmental impacts of urban infrastructures such as water and wastewater treatment plants (WTPs and WWTPs) and sewer pipelines, and its results have suggested several options to minimize the impacts (Lee et al. 2012; Nessi et al. 2012; Kyung et al. 2018).

WWTPs have been recognized as one of the largest of minor GHG emission sources due to their generation of the three primary GHGs such as carbon dioxide (CO<sub>2</sub>), methane(CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) (Corominas et al., 2012; Yerushalmi et al., 2013). WWTPs produce both direct and indirect GHGs due to bio-chemical reaction and consumption of energy and materials during their life cycle (Bani Shahabadi et al., 2009; Kyung et al., 2015). Because of the strict regulation by international climate change prevention protocols such as Paris Agreement, WWTPs will be confronted with the challenges of mitigating their GHG emissions soon. Therefore, the GHG emissions from WWTPs should be accurately estimated and need to be reduced by effective management plants.

In recent times, WWTPs haven been built in underground due to various reasons (MOE, 2017). Underground construction of WWTPs could minimize visual disturbances and generation of secondary hazardous pollutants such as odor. This also could stabilize the treatment efficiency due to prevention of slowing down of microbial activity at temperature below 10°C in cold weather. In addition, the upper part of WWTPs could be formed as waterfront parks, sports complexes, and cultural spaces through the efficient land use and it changes awareness of people towards eco-friendly facilities.

LCA has been applied to the environmental assessment of WWTPs to quantitatively estimate the GHG emissions and investigate significant factors affecting the GHG emissions. However, previous studies have usually focused on the estimation of GHG emissions for WWTPs constructed on the ground. Some researchers have dealt with design and removal efficiency of underground WWTP, but GHG emissions were not deeply considered though its different construction and operation method might influence on life cycle GHG emissions (Kuokkanen et al., 2017; Tang et al., 2018).

Hence, the main goal of this study is to estimate GHG emissions from an underground WWTP within the system boundary. In this study, (1) we have applied the process-based LCA with an inventory database of the WWTP for a case study, (2) identified significant factors affecting GHG emissions during service life using sensitivity analysis, and (3) suggested the proper tactics that could properly reduce GHG emissions from the WWTP.

## 2. METHODOLOGY

2.1 Functional Unit and System Boundary

The purpose of this study is to estimate the GHG emissions generated from an underground WWTP and investigate solutions to reduce them. Therefore, the functional unit of this study is set up as a WWTP in Hwasung Dongtan(2) that deals with 122,000 m<sup>3</sup>/d of wastewater with Membrane Bio-reactor (MBR). The construction and operation stages were established as system boundary to quantitatively estimate the GHG associated with the WWTP. In addition, the life cycle of the WWTP was set as 45 years, which is the ordinary life of civil engineering structures. It was assumed that equipment such as pipes and pumps is replaced twice during the life cycle since they have a duration of about 15 years. Activities occurring in the disposal stage were excluded from this study, due to data uncertainty. Data of material and energy consumption were acquired from the specification of the WWTP. Data on actual inflow and water quality during the operation stage were difficult to use and could not be applied. However, it is expected that the amount of GHG emission related with energy consumption is highly accurate, because the equipment capacity described in the detailed design report was used.

# 2.2 Data Inventory

The construction stages were divided into basic construction (BC), pretreatment and flow control tanks (PF), bio-reactors, sludge treatment, and reuse (BSR) facilities. The operation stages were classified as sedimentation basin (SB), flow control tank (FCT), bio-reactors and blowing (BB), chemical supply (CS), reuse water treatment (RWT), sludge treatment (ST), deodorization (D), and ventilation (V). The materials and electricity consumed at each process are shown in Table 1.

Stages		Materials and Energy	Consumption	Unit	
	basic construction (BC)	cement	43	kg	
		sand	5,247	m <sup>3</sup>	
		gravel	87		
		rebar	4	kg	
	pretreatment and flow control tanks (PF)	cement	6,385		
		sand	9,412	m <sup>3</sup>	
		gravel	11,812		
		rebar	2,313		
construction		cast iron	319	ka	
CONSTRUCTION		STS	34	ку	
		SPP	11		
	bio- reactor/sludge treatment/reuse (BSR)	cement	17,629	kg	
		sand	24,244	m <sup>3</sup>	
		gravel	30,309		
		rebar	5,448	kg	
		cast iron	100		
		STS	216		
		SPP	88		

## Table. 1 Consumption of materials and energy in the WWTP

operation	sedimentation basin		391,207		
	flow adjustment tank	electricity	3,289,818	kWh	
	bio- reactors/blowing		30,775,997		
	chemical supply	electricity	15,294	kWh	
		PAC	903.8	kg	
		NaOCI	146.11		
		polymer	90.17		
	reuse water treatment		3,294,282		
	sludge treatment	electricity	1,581,866	kWh	
	deodorization ventilation		1,258,279		
			11,418,660		

## 2.3 Estimation of GHG emissions

In the construction stage, the amount of cement, sand, gravel, rebar and pipe used in the construction was considered. The emission factors of materials were referenced by the Ministry of Environment (MOE), national life cycle inventory database (LCI DB) and Eco-invent DB. In consideration of the domestic situation, the MOE data was preferentially used. Foreign DB such as Eco-invent was used when no appropriate data were available.

$$E_{const} = \sum_{m(i)} \left( EF_{m(i)} \times M_{m(i)} \right)$$
(1)

Catagony	Emission factor		Deference	
Calegory	Unit	Value	Reference	
cement		0.944		
sand	kgCO <sub>2</sub> eq/m <sup>3</sup>	3.87	MOE	
gravel		11.3		
cast iron		1.631	Eco-invent	
rebar	kgCO₂eq/kg	0.3405	National LCI DB	
STS		3.23	MOE	
SPP		2.34	INICE	

Table. 2 Emission factors at the construction stage

The total GHG emissions from an operation stage is the summation of those from electricity and chemical consumption for wastewater treatment and on-site  $CH_4$  and  $N_2O$  emissions during the processes. The emission factors for electricity and polyaluminum chloride (PAC) consumption were obtained from Korea Power Exchange (KPX) and National LCI DB, respectively. In case of those for sodium hypochlorite (NaOCI) and polymer were acquired from Eco-invent, due to the lack of national data.

BOD removal was used as a contaminant source for  $CH_4$ , as TN removal was for  $N_2O$ . The emission factors of on-site  $CH_4$  and  $N_2O$  were obtained from field operation data.  $CH_4$  and  $N_2O$  emissions were converted to  $CO_2$  equivalent emissions by multiplying their global warming potential (GWP), and then added to estimate total on-site GHG emissions.

$$E_{oper} = E_{elect} + E_{chem} + E_{CH4} + E_{N20}$$
<sup>(2)</sup>

$$E_{elect} = \sum_{i} (E_{unit \ process \ ,i} \times EF_{elect})$$
(3)

$$E_{chem} = \sum_{i} (M_{chem,i} \times EF_{chem})$$
(4)

$$E_{CH4} = EF_{CH4} \times BOD \ removal \times 21 \tag{5}$$

$$E_{N20} = EF_{N20} \times TN \ removal \times 310 \tag{6}$$

Cotogony	Emission f	Deference		
Calegory	Unit	Value	Relefence	
electricity	kgCO <sub>2</sub> eq/kWh	0.4958	KPX	
PAC	kgCO₂eq/kg	0.871	national LCI DB	
NaOCI		0.6341	Eco invont	
polymer		2.5748		
on-site CH <sub>4</sub>	kgCH₄/kgBOD	0.0071	field operation data	
on-site N <sub>2</sub> O	kgN₂O/kgTN	0.0012		

Table. 3 Emission factors at the operation stage

2.4 Sensitivity analysis and uncertainty evaluation

Sensitivity analysis was implemented to determine the most influential factors affecting GHG emissions during the life cycle of the WWTP. A Monte-Carlo simulation scheme provided by commercial software, Crystal Ball (Ver. 11.1), was employed to perform the sensitivity analysis. The average daily waste water inflow of the WWTP was set as an average value for the sensitivity analysis, while the daily maximum inflow of the WWTP were used for LCA. It was assumed that the data variation is fitted by normal distribution, and its mean value was calculated by the following equation. Standard deviation was estimated by assuming 10% of the mean value.

## 3. RESULTS AND DISCUSSION

As a result of evaluating GHG emissions generated during the life cycle of the WWTP, the operating stage accounts for most of GHG emissions (99.9%). This is because construction is done only once during its life cycle, but operations are carried out over 45 years of continuous use of energy and materials.

3.1 GHG emissions at construction stage

Construction of bio-reactors, sludge treatment facilities, and reuse facilities (BSR) accounted for 69.6% of the total emissions at the construction stage (655.82 tonCO<sub>2</sub>eq). This is because larger amount of materials is consumed at the BSR process compared to the basic construction (BC) and construction of pretreatment facilities and flow control tanks (PF). As a result, GHG emissions from BSR construction were approximately 2.6 and 21 times higher than that of PF and BC construction, respectively.

The major GHG source associated with the construction of BSR and PF is the use of gravel, which contributes to 75.1% of GHG emissions (BSR: 342.5 tonCO<sub>2</sub>eq; PF: 133.5 tonCO<sub>2</sub>eq). This is due to the much more consumption of gravel and its higher emission factor than other materials. On the other hand, GHG emissions related to rebar, cast iron, and pipes were negligible. The material with the greatest impact (95.2%, 20.3 kgCO<sub>2</sub>eq) on GHG emissions during the BC appeared as sand. This is because the amount of sand used for BC is very large compared to other materials.



# Fig. 1 GHG emissions at the construction st

### 3.2 GHG emissions at operation stage

Unit processes that have the greatest impact on GHG emissions during the operation stage were found to be bio-reactors and blowing facilities (59.2%) and ventilation facilities (21.9%). The reason for the large amount of GHG emissions in bio-reactors and blowers is that the primary sedimentation basin is not installed to lower

the construction cost during the undergrounding process. Due to the lack of primary sedimentation basin, the amount of air used to oxidize organic pollutants is increased, thus increasing GHG emissions. Membrane Bio-Reactor (MBR) process has the advantage of low construction cost because it uses filtration membrane without sedimentation basin. However, blowers and cleaning processes are additionally required to supply air and prevent membrane contamination. The WWTP of Hwaseong Dongtan(2) was installed underground unlike general WWTPs. Therefore, the GHG emissions were very high due to the increase of electricity consumption used for ventilation system.



Membrane blowers (30.0%), aerobic blowers (25.6%), and internal return pumps (25.0%) were the most influencing equipment on GHG emissions related to bio-reactors and blowing facilities. Membrane blowers are used to supply oxygen for microorganism and air to prevent membrane contamination. At this time, the bubble size of the supplied air is larger than that generally used in biological process, therefore oxygen transfer efficiency is low. This requires more supplying air than a typical bioreactor, and the use of more electricity increases GHG emissions. In case of internal return pumps, GHG emissions can be reduced by optimizing the process, according to the loading of incoming nitrogen required for denitrification. Significant amounts of GHG are emitted from the use of electrical energy required for the operation of ventilation facilities. Regarding the ventilation system, supplying fan (50.3%) and exhausting fan (49.7%) were identified as the main sources of GHG emissions. The equipment that have the greatest impact on GHG emissions related to reclaimed wastewater treatment facilities

are pumps (47.9%), air compressors (19.9%) and ozone generators (17.3%). Reclaimed wastewater needs long distance transportation to supply environmental water, and this leads to high amounts of GHG emissions due to pump operation. The inflow pump (43.9%) and the flow control tank blower (39.9%) were found to have a significant impact on GHG emissions among the flow control tank related equipment. In the Hwaseong Dongtan(2) WWTP, the flow control tank is located in the basement deeper than the inlet pumping station of the general WWTPs. This can reduce electricity consumption by lowing the pump head of pumping station to collect and transport the wastewater. However, in the absence of the primary settling basin, it is essential to increase the storage capacity of flow control tank and maintain the residence time longer to keep the bio-reactor load constant. In such a situation, larger amount of air must be supplied to prevent sedimentation of suspended solids and odor by anaerobic conditions. Therefore, GHG emissions relatively increase compared to general WWTS. Among the sludge treatment facilities, the equipment with a large influence on GHG emissions were surplus sludge storage blowers (30.5%) and dehydrators (27.3%). The primary sedimentation sludge is not generated in this WWTP because there is no primary sedimentation basin, whereas surplus sludge is generated in the bio-reactor. Therefore, the use of blowers increases and other types of GHGs can be emitted. The high concentration deodorizer (41.8%) and low concentration deodorizer (26.5%) were found to have a significant effect on GHG emissions among the equipment related to the deodorization facilities. The agitator showed the most significant effect on GHG emissions among the equipment related to the grit chamber. In case of chemical supply facilities, the PAC pump use to supply PCA 24 hours has the largest impact on GHG emissions (79.6%).

As a result of sensitivity analysis, the most significant factor affecting the GHG emissions in the Hwasung Dongtan(2) WWTP built in underground was the use of electric energy in membrane blower and aerobic blower. It is expected that the total GHG emissions can be effectively reduced by optimizing the operation of the blower. In addition, huge amount of electrical energy was consumed during the operation of the internal return pump used for denitrification reaction. Therefore, a method to minimize the energy consumption due to the operation of the internal return pump should be prepared, by optimizing the nitrification of the aerobic tank and the denitrification of the anoxic tank. In addition, a lot of electric energy is consumed in the use of supplying and exhausting fans for ventilation because of the undergrounding of WWTP. Thus, the system should be improved and supplemented to minimize the ventilation space in the future.

## 3.3 Comparison of GHG emissions with other WWTPs

In order to evaluate the level of GHG emissions at Hwaseong Dongtan(2) WWTP, the GHG emissions were compared with other existing WWTPs. The GHG emissions of the WWTP were 0.87 kgCO<sub>2</sub>eq/m<sup>3</sup>, approximately 4.14~170 times higher than other types WWTPs constructed on ground. This is because the use of electric energy is very large due to the increase of (1) required air supply for bio-reactors, (2) amount of internal transfer for the denitrification process, and (3) ventilation according to the underground location. In the Biological Nutrient Removal (BNR) process, the amount of

GHG emissions is much higher than that of the standard activated sludge process since the air supply is increased during nitrification.

Author	Capacity (m <sup>3</sup> /d)	Method	Life cycle (year)	GHG emissions (kgCO <sub>2</sub> eq/m <sup>3</sup> )
This study	122,000	MBR	45	0.87
Park and Hwang	100,000	BNR	40	0.21
Zhang et al.	150,000	activated sludge	20	0.0075
Godin et al.	251,700	aerobic lagoon	-	0.0051
Shin	150,000	activated sludge	-	0.13

Table. 4 Comparison of GHG emissions

# 4. CONCLUSIONS

The process-based LCA was adopted to quantitatively estimate GHG emissions from whole life cycle stages (construction and operation) of the underground WWTP in Hwasung Dongtan(2) as a case study. The results showed that the GHG emissions of the WWTP (0.87 kgCO<sub>2</sub>e/m<sup>3</sup>) is much higher than those of WWTPs constructed on the ground. Considering the GHG emissions from disposal stage were not measured in this work, the amount of GHG emissions would be little higher. The greatest contributor of GHG emissions was energy consumption at bio-reactors and ventilation, generating 81.0% of the total GHG emissions. In order to minimize GHG emissions, optimization of design and operation should be achieved in the near future.

A variety of WWTPs have been built and operated under different operating conditions to remove carbonaceous matter and nutrients from wastewater. Optimal WWTP type and operating conditions can be changed depending on different environmental scenarios. The LCA approaches could be applied to classify low-carbon emission and high-removal efficiency methods. Moreover, the boundaries can be further extended to other environmental and industrial sectors to estimate total GHG emissions. This could lead to the development of novel green and sustainable urban environmental infrastructures providing more efficient removals of contaminants, as well as lower GHG emissions.

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